Foreign Influence Management – Enhancing the Security & Integrity of America’s Research Enterprise

Federal Demonstration Partnership
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Presenter

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Science and Security Goals at NSF

- Maintain the vibrant science and engineering community which relies on collaborations both globally and domestically
- Promote the norms, principles, and values of openness, transparency, and reciprocal collaboration
- Balance the open environment with the needs of security
- Better understand the risks, including the scale and scope
- Take action to mitigate risks
- Share knowledge and best practices
NSF Actions to Ensure the Integrity of Federally-Funded Research

• Creation of new NSF position, Chief of Research Security Strategy and Policy (CRSSP)
• Improved transparency/clarification for disclosure
  • PAPPG Disclosure Clarifications;
  • Revised NSF Term and Condition on International Collaborations on Major Facilities; and
  • New NSF Term and Condition on Postaward Disclosure
• Revision of Project Reporting Format to require updates of “Current Support” from PI and co-PIs
NSF Actions to Ensure the Integrity of Federally-Funded Research (cont’d)

• Risk assessment and analysis through JASON independent advisory group
• Communication and awareness with the scientific community
• Coordination with USG interagency partners
PAPPG Disclosure Clarifications: Biographical Sketch Chapter II.C.2.f

• Appointments section must include any titled academic, professional, or institutional position whether or not payment is received

• Senior personnel must identify all current domestic or foreign “professional appointments” outside of the individual’s academic, professional, or institutional appointments at the proposing organization
PAPPG Disclosure Clarifications: Current & Pending Support Chapter II.C.2.h

• NSF uses the information to assess the capacity of the individual to carry out the research as proposed as well as to help assess any potential overlap/duplication

• Information must be provided for all current and pending support irrespective of whether such support is provided through the proposing organization or directly to the individual

• Project or in-kind contributions without a time commitment are not required to be reported in Current and Pending Support
Policy Implementation
Current & Pending Support (cont’d)

• In-kind contributions that are intended for use on the project/proposal being proposed to NSF must be included as part of the Facilities, Equipment and Other Resources section of the proposal.

• Information contained in current and pending support is separate and distinct from the information provided in the budget.

• In-kind contributions that are not intended for use on the project and have no associated time commitment should not be reported in current and pending support.
• For projects that exceed five years, the individual should report on the next five years of the project

• For projects that span two fiscal years, the individual should report the latter of the two years

• Start-up packages from the proposing organization are not required to be reported

• Faculty salary is not considered current and pending support and should not be reported
Mentoring activities that take place as part of an individual’s regular appointment at the proposing organization need not be reported.

“Research endeavors” refers to the endeavors of the particular individual.

Consulting or professional service arrangements as defined in 2 CFR 200.459 are not identified as an example of a source of support in PAPPG Chapter II.C.2.h. that must be reported, as the type of work conducted is not typically considered to be at the same level of a proposal/project or in-kind contribution.

However, if the individual will be conducting research as part of the consulting agreement, then the consulting arrangement should be specified in the individual’s current and pending support submission.