

Financial Disclosure Form

This form must be completed for each individual whose financial interests/arrangements triggered a "Yes" response to any item in the "Financial Disclosure" questions.

Principal Investigator:	Complete a separate form for each PI, Sub-I or their spouse/child to whom this applies.	SIRB Official Use Only
Protocol #:		If an SIRB ID# has been assigned, list here:
Sponsor:		
Name of the party to whom this financial disclosure applies:		
Position of the party to whom this financial disclosure applies: <input type="checkbox"/> Principal Investigator ("PI") <input type="checkbox"/> Sub-Investigator ("Sub-I") <input type="checkbox"/> Spouse or dependent child of PI or Sub-I		

Mark "Yes" or "No" to indicate whether the financial interests/arrangements described apply to the party listed above. For each item answered "Yes," provide additional information in response to item #10 below. If any information provided here changes during the course of the study, or within one year after the last participant completes the study as specified in the protocol, notify Sterling IRB immediately.		
1.	Financial arrangement entered into between the sponsor of this study and the investigator whereby the value of the compensation for conducting the study could be influenced by its outcome. For example, compensation that is explicitly greater for a favorable outcome, or compensation to the investigator in the form of an equity interest in the sponsor or in the form of compensation tied to the sales of the product, such as royalty interest.	Yes <input type="checkbox"/> No <input type="checkbox"/>
2.	For publicly traded entities: the value of any remuneration received from the entity in the past 12 months <i>plus</i> the current value of any equity interest in the entity exceeds \$5,000*, <i>and</i> this financial interest reasonably appears to be related to the investigator's responsibilities for this study. For purposes of this definition, "remuneration" includes salary and any other payment for services not otherwise identified as salary (e.g., consulting fees, honoraria, paid authorship) and "equity interest" includes any stock, stock option, or other ownership interest as determined through reference to public prices or other measures of fair market value.	Yes <input type="checkbox"/> No <input type="checkbox"/>
3.	For non-publicly traded entities: the aggregate value of any remuneration received from the entity in the past 12 months exceeds \$5,000* <i>or</i> any equity interest is held (e.g., stock, stock option, or other ownership interest) <i>and</i> this financial interest reasonably appears to be related to the investigator's responsibilities for this study.	Yes <input type="checkbox"/> No <input type="checkbox"/>
4.	Any significant equity interest in this study's sponsor (i.e., any ownership interest, stock options, or other financial interest) whose value cannot be readily determined through reference to public prices. This generally applies to interests in a sponsor that is not a publicly-traded entity.	Yes <input type="checkbox"/> No <input type="checkbox"/>
5.	Intellectual property or other proprietary rights and interest (e.g. patents, copyrights, trademarks, licensing agreements) that reasonably appear to be related to the investigator's responsibilities for this study (e.g. rights/interest in the tested product); includes receipt of income related to such rights and interests.	Yes <input type="checkbox"/> No <input type="checkbox"/>
6.	Reimbursed or sponsored travel (i.e., that which is paid on behalf of the investigator and not reimbursed to the investigator so that the exact monetary value may not be readily available) related to the investigator's responsibilities for this study; provided, however, that this disclosure does not apply to travel that is reimbursed or sponsored by a Federal, state, or local government agency, an institution of higher education as defined at 20 U.S.C.1001(a), an academic teaching hospital, or a research institute that is affiliated with an institution of higher education.	Yes <input type="checkbox"/> No <input type="checkbox"/>
7.	An ownership interest, stock options or other financial interest in this study that is valued at \$10,000 or more* or 5 % or greater* ownership.	Yes <input type="checkbox"/> No <input type="checkbox"/>

8.	Receipt of significant payments of other sorts with a cumulative monetary value of \$25,000 or more made by this study's sponsor to the investigator or their institution to support activities of the investigator exclusive of the costs of conducting this or other clinical studies, (e.g., a grant to fund ongoing research, compensation in the form of equipment or retainers for ongoing consultation or honoraria).	Yes <input type="checkbox"/> No <input type="checkbox"/>
9.	An executive, director, or employee of the sponsor of this study.	Yes <input type="checkbox"/> No <input type="checkbox"/>
10.	For each item marked "Yes," describe the conflict (including its size and nature) and explain your plan to manage the conflict and minimize its impact on the conduct of this study. <i>If multiple items were marked "Yes," reference the item number and provide a separate explanation as to each:</i>	

**This threshold limit applies to the aggregated financial interests of the PI or Sub-I plus their spouse and dependent children.*

I hereby certify that the information on this form is accurate to the best of my knowledge.

Printed Name of Party to Whom this Form Applies

Signature of Party to Whom this Form Applies

Date

If applicable, Select "YES" and Enter "See attached: [UNC COI Finalization Letter \(email\)](#) for (insert investigator name)".

Note: A UNC COI Finalization Letter (email) for this study was emailed directly to each investigator who identified a COI. Please contact your investigator(s) for this information.