



Reporting Non-Compliance, Animal Welfare Issues, or Unanticipated Adverse Outcomes

Animal Welfare Concerns: There are multiple ways to report an animal welfare concern. Information may be provided anonymously and the university will preserve anonymity where possible. The USDA Animal Welfare Regulations and North Carolina law provide specific protection for employees, IACUC members, or laboratory personnel against discrimination or other reprisals for reporting violations of the Animal Welfare Act (i.e., Whistleblower Protection).

- Contact the Office of Animal Care and Use (OACU) at 919-966-5569 or iacuc@med.unc.edu. Ask for the OACU Education & Oversight (E&O) Team or the OACU Director, Dr. Tracy Heenan
- Contact the Office of the Vice Chancellor for Research at 919-962-1319 (Animal Program Institutional Official) or email Senior Associate Vice Chancellor for Research, Andy Johns at andy_johns@unc.edu
- Contact the UNC-Chapel Hill Compliance Line 24/7 by phone at 866-294-8688 (toll free and anonymous) or online at <https://secure.ethicspoint.com/domain/media/en/gui/10808/index.html>
- Provide the following information:
 - *Date; individuals involved; species; # animals; building/room location; cage card #*
 - *Detailed description of incident*
 - *Incident reported to anyone else? If so, when and what action was taken?*



Unanticipated Adverse Outcomes: Principal Investigators (PIs) are expected to report in a timely manner unanticipated adverse events experienced by animals covered by an approved protocol which result from experimental or surgical procedures.

- First consult with a Division of Comparative Medicine (DCM) veterinarian so that animals can receive proper veterinary care and necropsy, if necessary, to determine the cause of the adverse outcome.
- Following consultation with a DCM veterinarian, the PI should contact OACU to self-report the event and determine the next steps.

Self-reports: Self-reports demonstrate an individual's proactive effort to make the IACUC aware of an issue, prevent future incidents, and contribute to the scientific community. As federal regulations require the IACUC to investigate any potential animal welfare issue or non-compliance, a redacted summary will be presented to the Animal Concern Subcommittee (ACS) to assist in providing recommendations to the IACUC. The ACS makes recommendations to the IACUC which determines what (if any) further action is needed.

- Consult with DCM (technician, veterinarian)
- Contact OACU at 919-966-5569 or iacuc@med.unc.edu. Ask for the OACU E&O Team or the OACU Director, Dr. Tracy Heenan
- Provide the following information:
 - *Date; individuals involved; species; # animals; building/room location; Protocol #; PI; Detailed description of incident*
 - *Deviations from approved protocol and/or UNC Standards, if any*
 - *Steps to avoid future incidents*
 - *Funding source (federal vs not federal)*