UNC | **INSTITUTIONAL INTEGRITY AND RISK MANAGEMENT**

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL

INSTITUTIONAL INTEGRITY AND RISK MANAGEMENT
Export Compliance Office

exportcontrol@unc.edu
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123 W. Franklin Street | Suite 600B
Carolina Square | Campus Box 1005
Chapel Hill, NC 27599-1005
iirm.unc.edu/export-control/

June 30, 2023

RE: Carolina Commitment to Export Control Compliance

The University of North Carolina at Chapel Hill ("UNC Chapel Hill") and its senior leadership are committed to compliance with all United States export control laws and regulations including the Export Administration Regulations (15 § CFR 730-744) administered by the Department of Commerce, the International Traffic in Arms Regulations (ITAR) (22 CFR § 120-130) administered by the Department of State, and the Office of Foreign Assets Control Sanctions Programs administered by the Department of Treasury.

In accordance with the University Policy on Export Controls, the Export Compliance Office at UNC Chapel Hill has the mission of ensuring University compliance with all applicable export control laws, regulations, and sanctions. Housed in the Division of Institutional Integrity and Risk Management (IIRM), University compliance with export controls is achieved through the enterprise-wide management of export compliance risks affiliated with the various international functions of the University and its members. The Export Compliance Office is dedicated to helping University personnel understand and adhere to export controls, while facilitating the open sharing of research results, scholarship, and the University's global mission.

For the purpose of supporting the important mission of the Export Compliance Office, IIRM is partnering with key programs at UNC Chapel Hill to state its commitment to export control compliance and its willingness to implement this program with input and support from a diverse coalition. The signatures on this letter reflect the makeup of that coalition and provide a commitment to the values and ideals espoused in this letter for the UNC Chapel Hill community at large.

UNC Chapel Hill is committed to "serve as a center for research, scholarship, and creativity and to teach a diverse community of undergraduate, graduate, and professional students to become the next generation of leaders." UNC Chapel Hill's Export Compliance Office works diligently to ensure that compliance obligations are satisfied with the minimum number of restrictions on sharing data and collaborating with external partners. Only in limited circumstances does UNC accept restrictions on scientific publications for national security reasons.

It is incumbent upon all of us to ensure that taxpayer funds are utilized responsibly and ethically. Export control laws and regulations were primarily developed to curb the proliferation of weapons across the world. It is not always obvious how new technologies and inventions may be used. However, export control officers are constantly reading the applicable regulations, working with government and industry partners, and reviewing new research awards to help identify and control new information or technology that might have the potential to be used nefariously.

I ask each of you to take these matters very seriously and to support the Export Compliance Office in this effort. Compliance with these policies, laws, and regulations are a group effort with responsibility resting on every member of the UNC Chapel Hill community.
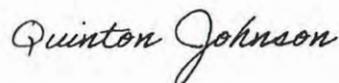
If you have any questions concerning the legitimacy of a transaction or potential violations, please contact the Export Control Officer at exportcontrol@unc.edu.

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George E. Battle III, J.D.
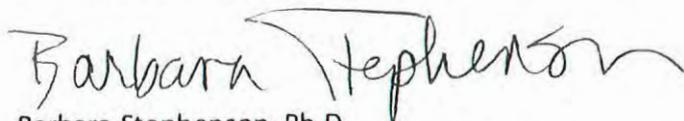Vice Chancellor for Institutional Integrity & Risk Management

Quinton Johnson, J.D.
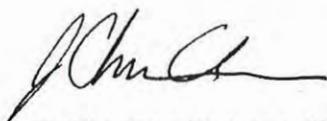Chief Compliance / Export Control Officer

Paul Rivers
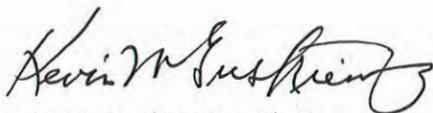Chief Information Security Officer (CISO)

Penny Gordon-Larsen, Ph D
Interim Vice Chancellor for Research

Barbara Stephenson, Ph.D.
Vice Provost for Global Affairs / Chief Global Officer

Christopher Clemens, Ph.D.
Provost

Kevin M. Guskiewicz, Ph.D.
Chancellor