Foreign Influence Management – Enhancing the Security & Integrity of America's Research Enterprise

Federal Demonstration Partnership
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Presenter

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Science and Security Goals at NSF

- Maintain the vibrant science and engineering community which relies on collaborations both globally and domestically
- Promote the norms, principles, and values of openness, transparency, and reciprocal collaboration
- Balance the open environment with the needs of security
- Better understand the risks, including the scale and scope
- Take action to mitigate risks
- Share knowledge and best practices

NSF Actions to Ensure the Integrity of Federally-Funded Research

- Creation of new NSF position, Chief of Research Security Strategy and Policy (CRSSP)

- Improved transparency/clarification for disclosure

  - PAPPG Disclosure Clarifications;

  - Revised NSF Term and Condition on International Collaborations on Major Facilities; and

  - New NSF Term and Condition on Postaward Disclosure

- Revision of Project Reporting Format to require updates of "Current Support" from PI and co-PIs

NSF Actions to Ensure the Integrity of Federally-Funded Research (cont'd)

- Risk assessment and analysis through JASON independent advisory group

- Communication and awareness with the scientific community

- Coordination with USG interagency partners

PAPPG Disclosure Clarifications: Biographical Sketch Chapter II.C.2.f

- Appointments section must include any titled academic, professional, or institutional position whether <u>or</u> not payment is received

  - Senior personnel must identify all **<u>current</u>** domestic or foreign "professional appointments" outside of the individual's academic, professional, or institutional appointments at the proposing organization

PAPPG Disclosure Clarifications: Current & Pending Support Chapter II.C.2.h

- NSF uses the information to assess the capacity of the individual to carry out the research as proposed as well as to help assess any potential overlap/duplication

- Information must be provided for all current and pending support irrespective of whether such support is provided through the proposing organization or directly to the individual

- Project or in-kind contributions without a time commitment are not required to be reported in Current and Pending Support

Policy Implementation
Current & Pending Support (cont'd)

- In-kind contributions that are intended for use on the project/proposal being proposed to NSF must be included as part of the Facilities, Equipment and Other Resources section of the proposal

- Information contained in current and pending support is separate and distinct from the information provided in the budget

- In-kind contributions that are not intended for use on the project and have no associated time commitment should not be reported in current and pending support

Policy Implementation
Current & Pending Support (cont'd)

- For projects that exceed five years, the individual should report on the next five years of the project

- For projects that span two fiscal years, the individual should report the latter of the two years

- Start-up packages from the proposing organization are not required to be reported

- Faculty salary is not considered current and pending support and should not be reported

Policy Implementation
Current & Pending Support (cont'd)

- Mentoring activities that take place as part of an individual's regular appointment at the proposing organization need not be reported

- "Research endeavors" refers to the endeavors **of the particular individual**

- Consulting or professional service arrangements as defined in 2 CFR 200.459 are not identified as an example of a source of support in PAPPG Chapter II.C.2.h. that must be reported, as the type of work conducted is not typically considered to be at the same level of a proposal/project or in-kind contribution.

    - However, if the individual will be conducting research as part of the consulting agreement, then the consulting arrangement should be specified in the individual's current and pending support submission.