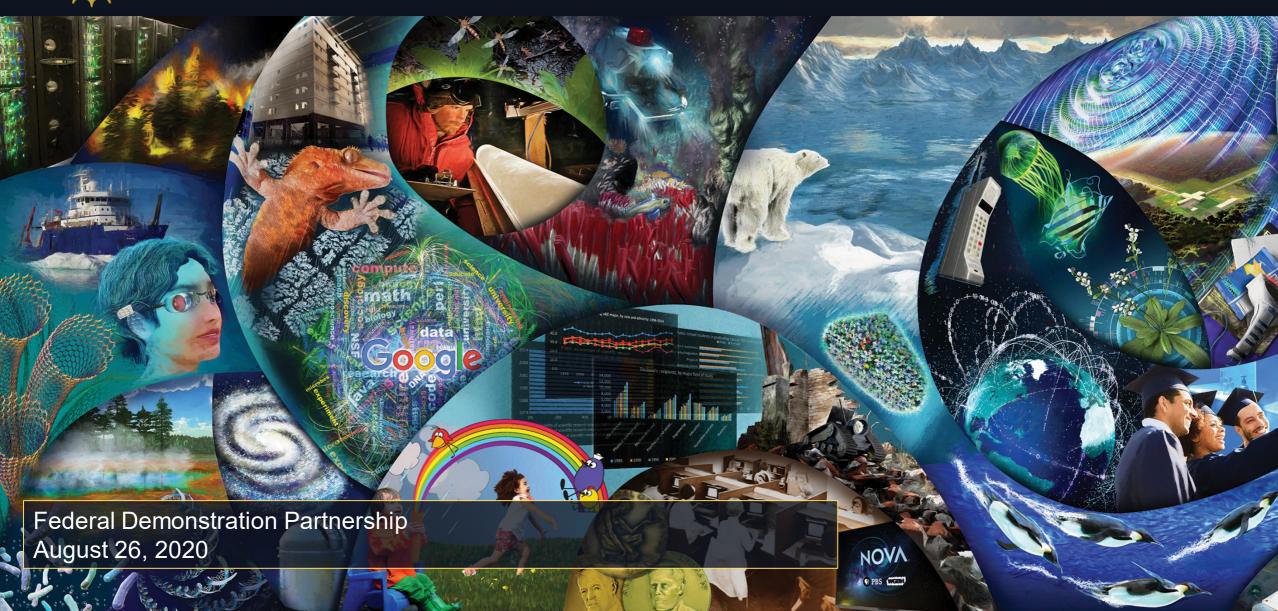


#### Foreign Influence Management – Enhancing the Security & Integrity of America's Research Enterprise



#### **Presenter**

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#### Science and Security Goals at NSF

- Maintain the vibrant science and engineering community which relies on collaborations both globally and domestically
- Promote the norms, principles, and values of openness, transparency, and reciprocal collaboration
- Balance the open environment with the needs of security
- Better understand the risks, including the scale and scope
- Take action to mitigate risks
- Share knowledge and best practices



#### NSF Actions to Ensure the Integrity of Federally-Funded Research

- Creation of new NSF position, Chief of Research Security Strategy and Policy (CRSSP)
- Improved transparency/clarification for disclosure
  - PAPPG Disclosure Clarifications;
  - Revised NSF Term and Condition on International Collaborations on Major Facilities; and
  - New NSF Term and Condition on Postaward Disclosure
- Revision of Project Reporting Format to require updates of "Current Support" from PI and co-PIs



# NSF Actions to Ensure the Integrity of Federally-Funded Research (cont'd)

- Risk assessment and analysis through JASON independent advisory group
- Communication and awareness with the scientific community
- Coordination with USG interagency partners



## PAPPG Disclosure Clarifications: Biographical Sketch Chapter II.C.2.f

- Appointments section must include any titled academic, professional, or institutional position whether <u>or</u> not payment is received
  - Senior personnel must identify all <u>current</u> domestic or foreign "professional appointments" outside of the individual's academic, professional, or institutional appointments at the proposing organization



## PAPPG Disclosure Clarifications: Current & Pending Support Chapter II.C.2.h

- NSF uses the information to assess the capacity of the individual to carry out the research as proposed as well as to help assess any potential overlap/duplication
- Information must be provided for all current and pending support irrespective of whether such support is provided through the proposing organization or directly to the individual
- Project or in-kind contributions without a time commitment are not required to be reported in Current and Pending Support



### Policy Implementation Current & Pending Support (cont'd)

- In-kind contributions that are intended for use on the project/proposal being proposed to NSF must be included as part of the Facilities, Equipment and Other Resources section of the proposal
- Information contained in current and pending support is separate and distinct from the information provided in the budget
- In-kind contributions that are not intended for use on the project and have no associated time commitment should not be reported in current and pending support



## Policy Implementation Current & Pending Support (cont'd)

- For projects that exceed five years, the individual should report on the next five years of the project
- For projects that span two fiscal years, the individual should report the latter of the two years
- Start-up packages from the proposing organization are not required to be reported
- Faculty salary is not considered current and pending support and should not be reported



# Policy Implementation Current & Pending Support (cont'd)

- Mentoring activities that take place as part of an individual's regular appointment at the proposing organization need not be reported
- "Research endeavors" refers to the endeavors of the particular individual
- Consulting or professional service arrangements as defined in 2 CFR 200.459
  are not identified as an example of a source of support in PAPPG Chapter
  II.C.2.h. that must be reported, as the type of work conducted is not typically
  considered to be at the same level of a proposal/project or in-kind
  contribution.
  - However, if the individual will be conducting research as part of the consulting agreement, then the consulting arrangement should be specified in the individual's current and pending support submission.

